Report of the National Thousands Block Pooling Administrator to the North American Numbering Council

September 18, 2013

Amy Putnam, Director

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**Pooling Administration (PA) and Routing Number Administrator (RNA) Activity Report**

# PA Activity Summary Data

# September 2012 through August 2013

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ACTIVITY** | **SEP** | **OCT** | **NOV** | **DEC** | **JAN** | **FEB** | **MAR** | **APR** | **MAY** | **JUN** | **JUL** | **AUG** |
| Total applications (Part 3s) processed | 15,081 | 15,124 | 15,491 | 7,626 | 15,136 | 9,602 | 10,357 | 11,823 | 12,863 | 25,142 | 8,016 | 9,817 |
| # of applications not processed in 7 calendar days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # of block assignments made | 4,393 | 3,905 | 5,627 | 4,329 | 4,071 | 4,509 | 4,733 | 5,531 | 3,726 | 3,853 | 3,041 | 3,511 |
| # of change requests to existing blocks | 8,524 | 7,348 | 6,476 | 1,443 | 7,860 | 2,059 | 2,542 | 2,501 | 2,854 | 17,173 | 1,998 | 3,762 |
| # of requests to cancel | 189 | 181 | 125 | 62 | 267 | 88 | 161 | 348 | 121 | 105 | 141 | 113 |
| # of block disconnect requests | 1,603 | 3,259 | 2,490 | 1,421 | 1,421 | 2,549 | 2,417 | 3,295 | 5,814 | 3,705 | 2,621 | 1,943 |
| # of block requests denied | 240 | 336 | 594 | 234 | 191 | 292 | 421 | 394 | 205 | 196 | 175 | 381 |
| # of blocks reclaimed | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 0 | 4 | 2 | 0 | 12 |
| # of block reservation requests | 4 | 15 | 6 | 7 | 13 | 4 | 12 | 8 | 7 | 1 | 6 | 4 |

#### P-ANI Summary Data

# September 2012 through August 2013

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ACTIVITY** | **SEP** | **OCT** | **NOV** | **DEC** | **JAN** | **FEB** | **MAR** | **APR** | **MAY** | **JUN** | **JUL** | **AUG** |
| Total Applications Processed (Part 3s Issued) | 529 | 1,539 | 386 | 534 | 482 | 910 | 729 | 760 | 644 | 552 | 576 | 1,312 |
| # of applications not processed in 5 business days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # of new p-ANI assignments made | 416 | 511 | 225 | 175 | 260 | 223 | 234 | 204 | 313 | 333 | 255 | 467 |
| # of modifications to existing p-ANIs | 0 | 0 | 4 | 1 | 10 | 398 | 29 | 116 | 136 | 71 | 149 | 243 |
| # of p-ANI returns | 112 | 1,022 | 147 | 355 | 210 | 289 | 460 | 437 | 187 | 146 | 169 | 585 |
| # of requests to cancel p-ANI return | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |
| # of requests denied | 0 | 2 | 9 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 2 | 5 |
| # of requests suspended  | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 |
| # of requests withdrawn | 1 | 4 | 1 | 3 | 2 | 0 | 5 | 2 | 5 | 552 | 576 | 11 |

#### Part 3 Summary Data

|  |  |  |  |
| --- | --- | --- | --- |
|  | **PAS** | **Manual** | **TOTAL** |
| Approved | 126,447 | 88 | 126,535 |
| Denied | 3,659 | 3 | 3,662 |
| Suspended | 23,992 | 0 | 23,992 |
| Withdrawn | 1,889 | 0 | 1,889 |
| **TOTALS** | **311,974** | **91** | **156,078** |

#### Part 3 Summary Data Sorted By Type

|  | **Approved** | **Denied** | **Suspended** | **Withdrawn** | **Total** |
| --- | --- | --- | --- | --- | --- |
| Block Modifications | 55,790 | 47 | 0 | 522 | 56,359 |
| Block Disconnects | 15,125 | 240 | 15,661 | 277 | 31,303 |
| Block Cancel Disconnect | 22 | 2 | 0 | 0 | 24 |
| Individual Blocks | 41,993 | 2,000 | 0 | 521 | 44,514 |
| Block Reservations | 92 | 26 | 0 | 19 | 137 |
| Process/Cancel Block Reservations | 79 | 9 | 0 | 3 | 91 |
| Code Modifications | 3,922 | 100 | 3,963 | 196 | 8,181 |
| Code Disconnects | 188 | 570 | 1,477 | 19 | 2,254 |
| LRN Blocks | 865 | 239 | 571 | 77 | 1,752 |
| Dedicated Blocks | 540 | 69 | 54 | 18 | 681 |
| Pool Replenishment Blocks | 7,831 | 357 | 2,266 | 237 | 10,691 |
| Manual | 88 | 3 | 0 | 0 | 91 |
| **TOTALS** | **126,535** | **3,662** | **23,992** | **1,889** | **156,078** |

#### NXX Codes Opened

####

|  |  |
| --- | --- |
| **Purpose** | **Total** |
| LRN | 522 |
| Dedicated Customer | 54 |
| Pool Replenishment | 2,159 |
| **TOTAL** | **2,735** |

# Summary of Rate Center Information Changes – September 2012 through August 2013

|  |  |  |  |
| --- | --- | --- | --- |
| **MONTH** | **NUMBER OF CHANGES** | **NUMBER OF AFFECTED NPAs** | **NUMBER OF AFFECTED STATES** |
| September | 25 | 9 | 6 |
| October | 9 | 9 | 5 |
| November | 14 | 9 | 6 |
| December | 20 | 6 | 4 |
| January | 9 | 7 | 4 |
| February | 38 | 14 | 6 |
| March | 26 | 8 | 4 |
| April | 42 | 16 | 9 |
| May | 273 | 49 | 24 |
| June | 160 | 29 | 19 |
| July | 212 | 38 | 19 |
| August | 7 | 4 | 4 |

# NOTE: The increases in changes in May and June were the result of MSA name and rate center designation changes. In July, there were 202 rate centers changed from Excluded to Optional in one NPA at the request of the regulatory staff.

# Reclamation Summary

# September 2012 through August 2013

|  |  |  |  |
| --- | --- | --- | --- |
| **MONTH** | **TOTAL NUMBER OF BLOCKS WITH OVERDUE PART 4s** | **TOTAL NUMBER OF NEW BLOCKS WITH OVERDUE PART 4s**  | **TOTAL NUMBER OF BLOCKS AUTHORIZED TO BE RECLAIMED** |
| September | 714 | 335 | 0 |
| October | 710 | 243 | 0 |
| November | 613 | 167 | 3 |
| December | 695 | 194 | 0 |
| January | 618 | 214 | 0 |
| February | 598 | 143 | 0 |
| March | 611 | 154 | 1 |
| April | 779 | 299 | 0 |
| May | 688 | 193 | 4 |
| June | 582 | 75 | 2 |
| July | 552 | 135 | 0 |
| August | 440 | 80 | 12 |

**Pooling Administration System (PAS) Performance**

# September 2012 through August 2013

|  |  |  |
| --- | --- | --- |
| **Reporting Period** | **Percent Scheduled Availability** | **Instances of Unscheduled Unavailability** |
| 09/01/12 – 09/30/12 | 100% | 0 |
| 10/01/12 – 10/31/12 | 100% | 0 |
| 11/01/12 – 11/30/12 | 100% | 0 |
| 12/01/12 – 12/31/12 | 100% | 0 |
| 01/01/13 – 01/31/13 | 99.76%[[1]](#footnote-1) | 2 |
| 02/01/13 – 02/28/13 | 100% | 0 |
| 03/01/13 – 03/31/13 | 100% | 0 |
| 04/01/13 – 04/30/13 | 100% | 0 |
| 05/01/13 – 05/31/13 | 100% | 0 |
| 06/01/12 – 06/30/12 | 100% | 0 |
| 07/01/12 – 07/31/12 | 100% | 0 |
| 08/01/12 – 08/31/12 | 100% | 0 |

**Routing Number Administration System (RNAS) Performance**

# September 2012 through August 2013

|  |  |  |
| --- | --- | --- |
| **Reporting Period** | **Percent Scheduled Availability** | **Instances of Unscheduled Unavailability** |
| 09/01/12 – 09/30/12 | 100% | 0 |
| 10/01/12 – 10/31/12 | 100% | 0 |
| 11/01/12 – 11/30/12 | 100% | 0 |
| 12/01/12 – 12/31/12 | 100% | 0 |
| 01/01/13 – 01/31/13 | 99.72%[[2]](#footnote-2) | 2 |
| 02/01/13 – 02/28/13 | 100% | 0 |
| 03/19/13 – 03/31/13 | 100% | 0 |
| 04/01/13 – 04/30/13 | 100% | 0 |
| 05/01/13 – 05/31/13 | 99.92%[[3]](#footnote-3) | 1 |
| 06/01/12 – 06/30/12 | 100% | 0 |
| 07/01/12 – 07/31/12 | 100% | 0 |
| 08/01/12 – 08/31/12 | 100% | 0 |

OTHER POOLING-RELATED ACTIVITIES

1. Compliance:
	1. Contract:
		1. The new pooling contract was awarded on July 12 and the base period expires July 14, 2014. Following that there are a total of three one year option periods ending on July 14, 2017.
	2. Contract Reporting
		1. All contractual reporting requirements for September 2012 through August 2013 were been submitted on time and posted to the website, as required.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **MONTHLY REPORTS** | **SEP** | **OCT** | **NOV**  | **DEC** | **JAN**  | **FEB**  | **MAR**  | **APR** | **MAY** | **JUN** | **JUL** | **AUG** |
| Staffing ([4.6.4.3)](http://www.nationalpooling.com/reports/fcc/fcc_reports/Staffing/2009_0501_Apr09_staffing_report.pdf)  | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Ad Hoc Reports (2.22.4.5) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| PAS Performance ([4.6.4.2)](http://www.nationalpooling.com/reports/fcc/fcc_reports/System/2009_0612_May2009SystemPerformanceReport.pdf) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| RNAS Performance (CO 19) | ✓ | ✓ | ✓ | ✓ | ✓ |  |  | ✓ | ✓ | ✓ | ✓ | ✓ |
| Thousands-Block Pooling ([4.6.4.1)](http://www.nationalpooling.com/reports/fcc/fcc_reports/Thousand-Block/FCC05-09DATA06-15-09RPTFINAL.pdf) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| P-ANI Report (CO 19) | ✓ | ✓ | ✓ | ✓ | ✓ |  |  | ✓ | ✓ | ✓ | ✓ | ✓ |
| Monthly Metrics (2.22.4) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| **QUARTERLY REPORTS** |  |  |  |  |  |  |  |  |  |  |  |  |
| Pooling Matrices (4.6.3.1) |  | ✓ |  |  | ✓ |  |  | ✓ |  |  | ✓ |  |
| Quarterly Metrics (2.22.4) |  | ✓ |  |  | ✓ |  |  | ✓ |  |  | ✓ |  |
| **SEMI-ANNUAL** |  |  |  |  |  |  |  |  |  |  |  |  |
| Forecasted Demand (4.6.2.1) |  |  |  |  |  | ✓ |  |  |  |  |  | ✓ |
| Rate Area Inventory Pool Status ([4.6.2.2)](http://www.nationalpooling.com/reports/fcc/fcc_reports/Rate_Area_Inventory_Pool_Status/2009_0213_4.6.2.2FinalFeb2009.xls) |  |  |  |  |  | ✓ |  |  |  |  |  | ✓ |
| **ANNUAL** |  |  |  |  |  |  |  |  |  |  |  |  |
| Annual Report (4.6.1) |  |  |  |  |  |  | ✓ |  |  |  |  |  |
| Inventory (3.21) |  |  |  |  |  |  |  |  |  | ✓ |  |  |

1. **Regulatory**
* Delegated Authority Petition Update:
* The petition for additional delegated authority filed by Montana in November, 2011, was approved on May 23.
* The Montana commission issued an order on August 13 to implement the delegated authority.
* We sent out notice on August 21 of the Supplemental Implementation Meeting (SIM) that is scheduled For September 19.
1. **P-ANI Administration as of August 31:**
	1. Nearly completed working on reconciling the p-ANI data where:
		1. The same p-ANI range or part of a p-ANI range is being reported by more than one carrier;
		2. No assignee reported on a p-ANI range that the assignor reported as assigned; or
		3. There are duplicate assignment issues.
	2. Attended ESIF meeting in San Diego, CA on July 24-25, 2013.
2. **NOWG:**
* Participated in the regular monthly meetings with the NOWG on June 25, July 16, and August 27.
1. **Change Orders:**
	1. The PA has submitted no change order proposals since the last NANC meeting.
	2. The following table shows the status of all current change orders:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **NUMBER** | **SUBJECT** | **DATE SUBMITTED TO FCC** | **STATUS** | **DATE FCC APPROVED** | **DATE IMPLEMENTED** |
| 24 | Changes to FTP interface in PAS | 11/6/12 | APPROVED | 12/5/12 | 7/19/13 (part) |

All FCC-approved change orders are posted on our website under DOCUMENTS.

1. **Special Projects:**
	* 1. **Very Old Overdue Part 4 Project:**
			+ Received approval from the FCC on January 24, 2013 to use the same alternate process we have used three times previously to resolve very old overdue Part 4s.
			+ 31% (188) of the 606 blocks on the reclamation list in January were from prior to January 1, 2012.
			+ Concluded the project on September 3 when the last alternative Part 4 was approved.
		2. **VoIP Trial:**
	* Trial Summary:
		1. On June 17 the FCC issued an order addressing some procedural matters and approving plans for 5 companies to participate in the trial.
		2. Pooling Administration has processed requests for:

 16 LRNs, for each of which one code was opened, one block was retained and 9 blocks were returned to the pool.

 5 individual blocks.

* + 1. **Annual PA Survey:**
			- * Conducted the annual PA survey from August 5-August 16.
				* We received 73 responses, 19 of which were from state regulators.
				* The overall average response was 4.6, which is consistent with previous years.
1. There were two instances of unscheduled unavailability; the first was for 1 hour and 12 minutes beginning on Friday, January 25 and the second was for 33 minutes beginning on Saturday, January 26. [↑](#footnote-ref-1)
2. There were two instances of unscheduled unavailability; the first was for 1 hour and 12 minutes on Friday, January 25 and the second was for 53 minutes on Saturday, January 26. [↑](#footnote-ref-2)
3. There was one instance of unscheduled unavailability after routine maintenance on May 28 for 34 minutes. [↑](#footnote-ref-3)