Report of the National Thousands Block Pooling Administrator to the North American Numbering Council

September 17, 2014

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**Pooling Administration (PA) and Routing Number Administrator (RNA) Activity Report**

# PA Activity Summary Data

# September 2013 through August 2014

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ACTIVITY** | **SEP** | **OCT** | **NOV** | **DEC** | **JAN** | **FEB** | **MAR** | **APR** | **MAY** | **JUN** | **JUL** | **AUG** |
| Total applications (Part 3s) processed | 8,374 | 10,499 | 7,975 | 7,771 | 8,069 | 8,725 | 9,422 | 17,601 | 8,977 | 8,145 | 10,493 | 15,232 |
| # of applications not processed in 7 calendar days | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| # of block assignments made | 3,617 | 4,477 | 4,087 | 2,170 | 2,985 | 3,802 | 5,147 | 5,314 | 4,563 | 4,835 | 3,722 | 5,286 |
| # of change requests to existing blocks | 2,198 | 2,718 | 2,086 | 3,182 | 2,683 | 2,895 | 2,198 | 9,409 | 1,517 | 1,615 | 4,927 | 8,242 |
| # of requests to cancel | 146 | 149 | 83 | 151 | 222 | 95 | 68 | 217 | 66 | 64 | 94 | 153 |
| # of block disconnect requests | 2,156 | 2,794 | 1,462 | 2,154 | 2,117 | 1,618 | 1,640 | 2,306 | 2,455 | 1,293 | 1,438 | 993 |
| # of block requests denied | 183 | 301 | 162 | 201 | 219 | 188 | 179 | 245 | 252 | 155 | 136 | 334 |
| # of blocks reclaimed | 20 | 2 | 7 | 19 | 0 | 1 | 4 | 6 | 7 | 1 | 1 | 1 |
| # of block reservation requests | 1 | 9 | 3 | 1 | 6 | 0 | 6 | 16 | 0 | 1 | 5 | 2 |

#### P-ANI Summary Data

# September 2013 through August 2014

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ACTIVITY** | **SEP** | **OCT** | **NOV** | **DEC** | **JAN** | **FEB** | **MAR** | **APR** | **MAY** | **JUN** | **JUL** | **AUG** |
| Total Applications Processed (Part 3s Issued) | 5,022 | 2,552 | 2,486 | 523 | 1,962 | 681 | 11,589 | 1,452 | 887 | 1,489 | 1,059 | 1,199 |
| # of applications not processed in 5 business days | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| # of new p-ANI assignments made | 267 | 420 | 236 | 116 | 843 | 359 | 146 | 221 | 219 | 335 | 512 | 483 |
| # of modifications to existing p-ANIs | 700 | 13 | 357 | 5 | 6 | 1 | 9,353 | 2 | 7 | 1 | 13 | 5 |
| # of p-ANI returns | 4,049 | 1,949 | 1,892 | 401 | 1,109 | 317 | 2,087 | 1,220 | 656 | 1,149 | 520 | 709 |
| # of requests to cancel p-ANI return | 1 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 2 | 0 | 0 | 0 |
| # of requests denied | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 0 |
| # of requests suspended  | 0 | 157 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # of requests withdrawn | 4 | 13 | 1 | 0 | 4 | 4 | 1 | 9 | 3 | 2 | 12 | 2 |

#### Part 3 Summary Data

|  |  |  |  |
| --- | --- | --- | --- |
|  | **PAS** | **Manual** | **TOTAL** |
| Approved | 99,971 | 35 | 100,006 |
| Denied | 2,553 | 2 | 2,555 |
| Suspended | 17,214 | 0 | 17,214 |
| Withdrawn | 1,508 | 0 | 1,508 |
| **TOTALS** | **121,246** | **37** | **121,283** |

#### Part 3 Summary Data Sorted By Type

|  | **Approved** | **Denied** | **Suspended** | **Withdrawn** | **Total** |
| --- | --- | --- | --- | --- | --- |
| Block Modifications | 36,794 | 106 | 0 | 698 | 37,598 |
| Block Disconnects | 10,141 | 107 | 10,221 | 59 | 20,528 |
| Block Cancel Disconnect | 10 | 0 | 0 | 0 | 10 |
| Individual Blocks | 42,189 | 1,243 | 0 | 320 | 43,752 |
| Block Reservations | 49 | 19 | 0 | 7 | 75 |
| Process/Cancel Block Reservations | 37 | 0 | 0 | 1 | 38 |
| Code Modifications | 2,802 | 130 | 2,970 | 170 | 6,072 |
| Code Disconnects | 147 | 482 | 1,251 | 18 | 1,898 |
| LRN Blocks | 682 | 157 | 441 | 82 | 1,362 |
| Dedicated Blocks | 760 | 60 | 77 | 18 | 915 |
| Pool Replenishment Blocks | 6,360 | 249 | 2,254 | 135 | 8,998 |
| Manual | 35 | 2 | 0 | 0 | 37 |
| **TOTALS** | **100,006** | **2,555** | **17,214** | **1,508** | **121,283** |

#### NXX Codes Opened

####

|  |  |
| --- | --- |
| **Purpose** |  |
| LRN | 405 |
| Dedicated Customer | 76 |
| Pool Replenishment | 2,144 |
| **TOTAL** | **2,625** |

# Summary of Rate Center Information Changes – September 2013 through August 2014

|  |  |  |  |
| --- | --- | --- | --- |
| **MONTH** | **NUMBER OF CHANGES** | **NUMBER OF AFFECTED NPAs** | **NUMBER OF AFFECTED STATES** |
| September | 152 | 12 | 8 |
| October | 15 | 9 | 6 |
| November | 18 | 12 | 6 |
| December | 11 | 10 | 6 |
| January | 272 | 9 | 7 |
| February | 47 | 8 | 6 |
| March | 33 | 8 | 5 |
| April | 27 | 11 | 7 |
| May | 14 | 11 | 8 |
| June | 91 | 23 | 13 |
| July | 22 | 7 | 4 |
| August | 61 | 10 | 6 |

# The January 2014 changes were due to implementation of mandatory pooling in Montana.

# Reclamation Summary

# September 2013 through August 2014

|  |  |  |  |
| --- | --- | --- | --- |
| **MONTH** | **TOTAL NUMBER OF BLOCKS WITH OVERDUE PART 4s** | **TOTAL NUMBER OF NEW BLOCKS WITH OVERDUE PART 4s**  | **TOTAL NUMBER OF BLOCKS RECLAIMED** |
| September | 491 | 144 | 20 |
| October | 516 | 150 | 2 |
| November | 649 | 211 | 7 |
| December | 583 | 123 | 19 |
| January | 576 | 139 | 0 |
| February | 673 | 359 | 1 |
| March | 832 | 293 | 4 |
| April | 447 | 80 | 6 |
| May | 417 | 66 | 7 |
| June | 560 | 254 | 1 |
| July | 325 | 89 | 1 |
| August | 277 | 21 | 1 |

**Pooling Administration System (PAS) Performance**

# September 2013 through August 2014

|  |  |  |
| --- | --- | --- |
| **Reporting Period** | **Percent Scheduled Availability** | **Instances of Unscheduled Unavailability** |
| 09/01/13 – 09/30/13 | 100 | 0 |
| 10/01/13 – 10/31/13 | 100 | 0 |
| 11/01/13 – 11/30/13 | 100 | 0 |
| 12/01/13 – 12/31/13 | 100 | 0 |
| 01/01/14 – 01/31/14 | 99.9 | 1 |
| 02/01/14 – 02/28/14 | 100 | 0 |
| 03/01/14 – 03/31/14 | 100 | 0 |
| 04/01/14 – 04/30/14 | 100 | 0 |
| 05/01/14 – 05/31/14 | 99.91 | 1 |
| 06/01/14 – 06/30/14 | 100 | 0 |
| 07/01/14 – 07/31/14 | 100 | 0 |
| 08/01/14 – 08/31/14 | 100 | 0 |

**Routing Number Administration System (RNAS) Performance**

# September 2013 through August 2014

|  |  |  |
| --- | --- | --- |
| **Reporting Period** | **Percent Scheduled Availability**  | **Instances of Unscheduled Unavailability** |
| 09/01/13 – 09/30/13 | 100 | 0 |
| 10/01/13 – 10/31/13 | 100 | 0 |
| 11/01/13 – 11/30/13 | 100 | 0 |
| 12/01/13 – 12/31/13 | 100 | 0 |
| 01/01/14 – 01/31/14 | 99.9 | 1 |
| 02/01/14 – 02/28/14 | 100 | 0 |
| 03/01/14 – 03/31/14 | 100 | 0 |
| 04/01/14 – 04/30/14 | 100 | 0 |
| 05/01/14 – 05/31/14 | 99.91 | 1 |
| 06/01/14 – 06/30/14 | 100 | 0 |
| 07/01/14 – 07/31/14 | 100 | 0 |
| 08/01/14 – 08/31/14 | 100 | 0 |

Theunscheduled downtime for both PAS and RNAS on January 29, 2014was due to a firewall hardware failure resulting in 56 minutes of unavailability before failover to Charlotte was complete. There were no customer reports of inability to reach PAS or RNAS during this time**.** A server disruption on May 19, 2014, caused 38 minutes of unscheduled PAS and RNAS unavailability before the failover to Charlotte was finalized. There were 2 customer reports of inability to reach PAS during this time, for which trouble tickets were opened. There were no customer reports for RNAS.

OTHER POOLING-RELATED ACTIVITIES

1. Compliance:
	1. Contract Reporting

# All contractual reporting requirements for September, 2013 through August, 2014 were submitted on time and posted to the website, as required.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **MONTHLY REPORTS** | **SEP** | **OCT** | **NOV**  | **DEC** | **JAN**  | **FEB**  | **MAR**  | **APR** | **MAY** | **JUN**  | **JUL** | **AUG** |
| Staffing ([4.6.4.3)](http://www.nationalpooling.com/reports/fcc/fcc_reports/Staffing/2009_0501_Apr09_staffing_report.pdf)  | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Ad Hoc Reports (2.22.4.5) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| PAS Performance ([4.6.4.2)](http://www.nationalpooling.com/reports/fcc/fcc_reports/System/2009_0612_May2009SystemPerformanceReport.pdf) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| RNAS Performance (CO 19) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Thousands-Block Pooling ([4.6.4.1)](http://www.nationalpooling.com/reports/fcc/fcc_reports/Thousand-Block/FCC05-09DATA06-15-09RPTFINAL.pdf) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| P-ANI Report (CO 19) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Monthly Metrics (2.22.4) | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| **QUARTERLY REPORTS** |  |  |  |  |  |  |  |  |  |  |  |  |
| Pooling Matrices (4.6.3.1) |  | ✓ |  |  | ✓ |  |  | ✓ |  |  | ✓ |  |
| **SEMI-ANNUAL** |  |  |  |  |  |  |  |  |  |  |  |  |
| Forecasted Demand (4.6.2.1) |  |  |  |  |  | ✓ |  |  |  |  |  | ✓ |
| Rate Area Inventory Pool Status ([4.6.2.2)](http://www.nationalpooling.com/reports/fcc/fcc_reports/Rate_Area_Inventory_Pool_Status/2009_0213_4.6.2.2FinalFeb2009.xls) |  |  |  |  |  | ✓ |  |  |  |  |  | ✓ |
| **ANNUAL** |  |  |  |  |  |  |  |  |  |  |  |  |
| Annual Report (4.6.1) |  |  |  |  |  |  | ✓ |  |  |  |  |  |
| Inventory (3.21) |  |  |  |  |  |  |  |  |  |  | ✓ |  |

1. **P-ANI Administration as of August 31:**
	1. Continued working on reconciling the p-ANI data where:
		1. The same p-ANI range or part of a p-ANI range is being reported by more than one carrier (one range remaining to be resolved);
		2. No assignee reported on a p-ANI range that the assignor reported as assigned; or
		3. There are duplicate assignment issues.
	2. Attended ESIF meeting July 22-24.
2. **NOWG:**
	1. Participated in the regular monthly meetings with the NOWG on June 24, July 25, and August 14.
3. **Change Orders:**

The only pending Change Order is part of Change Order 24 from the previous contract, relating to changes to the FTP interface in PAS. The remainder of its implementation will take place with the rollout of the PAS system enhancements as part of the new contract. All FCC-approved change orders are posted on our website under DOCUMENTS.

1. **Special Projects:**
	1. We continue working on development and testing for the refresh of the Pooling Administration System (PAS).
	2. In July, upon determining that the 2013 Census estimates were available, we reviewed the population estimates and made all of the appropriate updates. This year the composition of the Top-100 MSAs did not change, although there were many rearrangements in placement on the list.